



COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Simon Oliver-Corston te al.

Appln. No.: 09/770,779

Filed : January 26, 2001

For : LINGUISTICALLY INTELLIGENT  
TEXT COMPRESSION

Docket No.: M61.12-0682

Group Art Unit: 2641

Examiner: ---

Receipt  
RECEIVED  
SEP 10 2002  
Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
SENT BY U.S. MAIL, FIRST CLASS, TO THE  
ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, D.C. 20231, THIS

12<sup>th</sup> DAY OF August 2002  
*Joseph R. Kelly*  
PATENT ATTORNEY

Sir:

1. Enclosed is a copy of the Official Filing Receipt received from the PTO in the above-identified application for which issuance of a corrected Filing Receipt is requested.
2. There is an error with respect to the following data, which is:

☒ [X] incorrectly entered; and/or

☐ [ ] omitted.

The correction for this error is indicated on the enclosed copy of the Official Filing Receipt and is listed below:

Error in

Corrected data

A. ☐ [ ] Applicant's Name | A.

B. ☐ [ ] Applicant's Address | B.

C. ☒ [X] Title | C.

LINGUISTICALLY  
INTELLIGENT TEXT  
COMPRESSION

D. ☐ [ ] Filing Date | D.

Repln. Ref: 08/23/2002 CNGUYEN 0009284700  
DA# 231123 Name/Number: 09770779  
FC: 704 \$25.00 CR



COPY OF PAPERS  
ORIGINALLY FILED

-2-

RECEIVED  
SEP 10 2002  
Technology Center 2600

- E. ☐ Serial No. | E.  
F. ☐ Foreign - PCT | F.  
Application Re:  
G. ☐ Other | G.

3. (Complete the following applicable item)

- A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

- B. ☒ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. § 1.19(h), of \$25.00 is paid as follows:

☒ Enclosed is a check for \$25.00

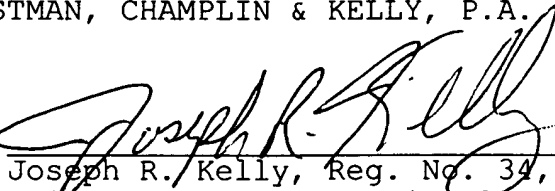
☐ Charge Deposit Account No. 23-1123 in the amount of \$25.00.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By

  
Joseph R. Kelly, Reg. No. 34,847  
Suite 1600 - International Centre  
900 Second Avenue South  
Minneapolis, Minnesota 55402-3319  
Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:ajm

JUN 29 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/770,779         | 01/26/2001  | 2641         | 1020          | M61.12-0682     | 6        | 30         | 3          |

CONFIRMATION NO. 7875

UPDATED FILING RECEIPT



\*OC00000006225613\*

Joseph R. Kelly  
WESTMAN CHAMPLIN & KELLY  
International Centre - Suite 1600  
900 South Second Avenue  
Minneapolis, MN 55402-3319RECEIVED  
SEP 10 2002  
Technology Center 2600

Date Mailed: 06/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Simon H. Corston-Oliver, Seattle, WA;  
Sharad Mathur, Redmond, WA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 03/08/2001

Projected Publication Date: 08/01/2002

Non-Publication Request: No

Early Publication Request: No

Client Ref: 160345-1

Date Sent: 7/19/01

Sent By: Susan

Title

Linguistically intelligent text compression

**Preliminary Class**

704

---

**Data entry by : MOGUSS, ZENEBEWORK**

**Team : OIPE**

**Date: 06/26/2001**





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

**FILE COPY**

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 7875

|  |   |                               |   |   |                                |
|--|---|-------------------------------|---|---|--------------------------------|
| <b>SERIAL NUMBER</b><br>09/770,779   | <b>FILING DATE</b><br>01/26/2001<br><b>RULE</b>   | <b>CLASS</b><br>704           | <b>GROUP ART UNIT</b><br>2654   | <b>ATTORNEY DOCKET NO.</b><br>M61.12-0682 |                                |
| <b>APPLICANTS</b><br>Simon H. Corston-Oliver, Seattle, WA;<br>Sharad Mathur, Redmond, WA;  |   |                               |   |   |                                |
| <b>** CONTINUING DATA *****</b>  |   |                               |   |   |                                |
| <b>** FOREIGN APPLICATIONS *****</b>   |   |                               |   |   |                                |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 03/08/2001</b>   |   |                               |   |   |                                |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance<br>Verified and Acknowledged <input checked="" type="checkbox"/> |   | <b>STATE OR COUNTRY</b><br>WA | <b>SHEETS DRAWING</b><br>6  | <b>TOTAL CLAIMS</b><br>30                 | <b>INDEPENDENT CLAIMS</b><br>3 |
| <b>ADDRESS</b><br>Joseph R. Kelly<br>WESTMAN CHAMPLIN & KELLY<br>International Centre - Suite 1600<br>900 South Second Avenue<br>Minneapolis ,MN 55402-3319  |   |                               |   |   |                                |
| <b>TITLE</b><br>Linguistically intelligent text compression  |   |                               |   |   |                                |
| <b>FILING FEE RECEIVED</b><br>1020   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                               | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |                                |